

March 2021 Quarterly Compliance Report

Understanding the Administrator's Quarterly Compliance Report

Clause B102 of the National Health Reform Agreement 2011 requires the Administrator to publish details of Commonwealth, State and Territory compliance with the data requirements specified in the [Administrator's Three Year Data Plan 2020-21 to 2022-23](#). Clause A152 of the Addendum to the National Health Reform Agreement 2020-21 to 2024-25 requires the Administrator to publish additional information in the compliance reports.

The format of the Quarterly Compliance Reports follows a standard structure, with relevant data requirements reflected in each quarter. Further information on requirements can be found in the [Administrator's 2020-21 Data Compliance Policy](#).

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Executive Summary

There were a number of 2019-20 Annual Reconciliation data resubmissions post the due date of 30 September 2020, and following the publication of the December 2020 quarterly compliance report. **Attachment A** has been provided to give visibility of these overdue data resubmissions.

Six States and Territories have submitted 2020-21 Service Agreements to the Administrator. As at 30 April 2021, ten months into the 2020-21 Financial Year, Victoria and the Australian Capital Territory have not yet submitted any 2020-21 Service Agreements to the Administrator. This compares to last financial year where Victoria submitted their Service Agreements in November 2019 and the ACT submitted their Service Agreement in late June 2020.

Not all States and Territories submitted their 2020-21 Six-month data submissions by the due date. Timeliness has improved marginally with 87% of Submission A provided on time compared to 75% in the prior year, and 50% of Submission B provided on time with no improvement on the year prior.

Statements of Assurance on the quality of the 2020-21 Six-month data submissions from Commonwealth, State and Territory senior officials were only provided by two of the nine jurisdictions. This compares to three of the nine jurisdictions for the same time last year.

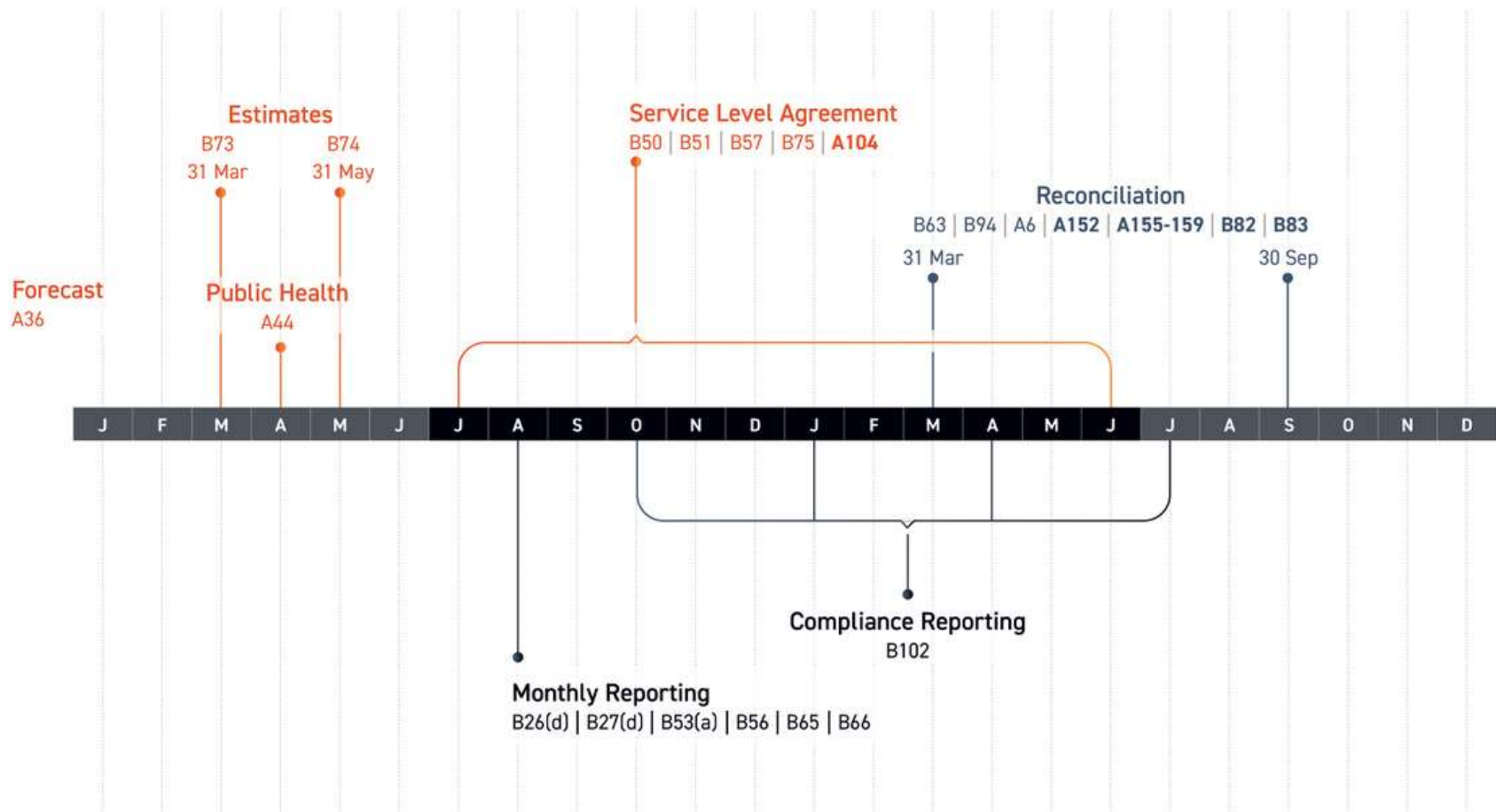
Monthly report submissions for 2020-21 remain a highlight with all States and Territories submitting reports on or before the due date.

Estimated State and Territory activity data submissions for 2021-22 were provided on time by three States and Territories with delays of up to two weeks for the remaining States and Territories.

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Data cycle

Data Plan
B85
B86
B88
B91
B92
B93
B95
A154



Key
Agreement regular
Addendum* bold

* 2020-25 Addendum to the National Health Reform Agreement

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Table 1: Compliance with the Administrator’s Three Year Data Plan

Data requirement	Clause^	Due date	Measurement	CW	NSW	VIC	QLD	WA	SA	TAS	ACT	NT
Service Agreements and Data Provision												
LHN Service Agreements 2020-21 provided to the Administrator	B75	Not applicable	Number of agreements submitted		17/19 ¹	0/43 ⁴	14/15 ⁵	6/6	11/11	1/1	0/1 ⁴	2/2
LHN Service Agreements include the number of services to be provided by the LHN	D8(a)	Not applicable	Meets minimum requirements Yes or <u>No</u>		Yes	Not available	Yes	Yes	Yes	Yes	Not available	Yes
LHN Service Agreements include the mix of services to be provided by the LHN	D8(a)	Not applicable	Meets minimum requirements Yes or <u>No</u>		Yes	Not available	Yes	Yes	Yes	Yes	Not available	Yes
LHN Service Agreements include the level of ABF to be provided to the LHN reported on the basis of the national efficient price	D8(c)	Not applicable	Meets minimum requirements Yes or <u>No</u>		No ²	Not available	No ²	Yes	Yes	Yes	Not available	No ²
LHN Service Agreements include the level of Block Funding to be provided to the LHN	D8(c)	Not applicable	Meets minimum requirements Yes or <u>No</u>		No ³	Not available	Yes	Yes	Yes	Yes	Not available	Yes
Update to estimated LHN NWAU 2020-21	B51 B57	Not applicable	Date Submitted or <u>No Update</u>		23 Sep 20	6 Apr 21	8 Feb 21	15 Apr 21	8 Apr 21	5 Mar 21	No update	19 Mar 21
LHN Service Agreements (Activity Based Funded LHNs only) and estimated LHN NWAU aligned	B51 B57	Not applicable	Number of agreements aligned		0/19 ⁶	Not available	14/15 ⁵	0/6 ⁶	0/11 ⁶	0/1 ⁶	Not available	0/2 ⁶
Estimated State and Territory NWAU by service category 2021-22	B73	31 Mar	Submitted (if so, when) or <u>Not Submitted</u>		16 Apr 21	5 Apr 21	13 Apr 21	31 Mar 21	8 Apr 21	31 Mar 21	19 Apr 21	19 Mar 21
Public Health amounts	A44	Not applicable	Submitted or <u>Not Submitted</u>	Submitted								

Refer to page 7 for comments and footnotes.

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Table 1: Compliance with the Administrator’s Three Year Data Plan (continued)

Data requirement	Clause ^A	Due date	Measurement	CW	NSW	VIC	QLD	WA	SA	TAS	ACT	NT
Reconciliation requirements												
Submission A (Six-month 2020-21)	B63	31 Mar	Submitted or <u>Not Submitted</u>		Submitted	Not submitted ⁸	Submitted	Submitted	Submitted	Submitted	Submitted	Submitted
Submission B (Six-month 2020-21)	B94	31 Mar	Submitted or <u>Not Submitted</u>		Not submitted ⁸	Not submitted ⁸	Submitted	Submitted	Submitted	Not submitted ⁸	Submitted	Submitted
MBS and PBS data (Six-month 2020-21)	A6	31 Mar	Submitted or <u>Not Submitted</u>	Submitted								
Statement of Assurance	B82 of the Addendum B83 of the Addendum	With each submission*	Submitted or <u>Not Submitted</u>	Not submitted	Not submitted	Not submitted ⁸	Not submitted	Submitted	Not submitted	Submitted	Not submitted ⁸	Submitted
Data Conditional Payment	A156- A159 of the Addendum	Date applied	Not applied or <u>Applied</u> (if so, when)		Not applied ⁷	Not applied ⁷	Not applied ⁷	Not applied ⁷	Not applied ⁷	Not applied ⁷	Not applied ⁷	Not applied ⁷
Sentinel Events (Six-month 2020-21)	A166 of the Addendum	31 Mar	Submitted or <u>Not Submitted</u>		Submitted	Not submitted ⁸	Not submitted ⁸	Submitted	Submitted	Submitted	Submitted ⁹	Submitted ⁹
Monthly reports												
January 2021	B65-67	15 Feb	Date Submitted		12 Feb 21	11 Feb 21	10 Feb 21	12 Feb 21	9 Feb 21	9 Feb 21	9 Feb 21	15 Feb 21
February 2021	B65-67	15 Mar	Date Submitted		12 Mar 21	12 Mar 21	12 Mar 21	15 Mar 21	3 Mar 21	12 Mar 21	4 Mar 21	15 Mar 21
March 2021	B65-67	15 Apr	Date Submitted		8 Apr 21	7 Apr 21	9 Apr 21	14 Apr 21	8 Apr 21	14 Apr 21	8 Apr 21	13 Apr 21

Refer to page 7 for comments and footnotes.

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Table 2: Submission and re-submission dates for 2020-21 six month data

Service category or Data type	Dataset	Submission or re-submission date	NSW	VIC	QLD	WA	SA	TAS	ACT	NT
Emergency	ED (ED Patient Level)	Submission	29 Mar 21	27 Apr 21	30 Mar 21	29 Mar 21	30 Mar 21	31 Mar 21	31 Mar 21	30 Mar 21
		Re-submission 1			14 Apr 21					
	ES (ED Aggregate Level)	Submission	N/A	27 Apr 21	30 Mar 21	29 Mar 21	30 Mar 21	31 Mar 21	N/A	N/A
		Re-submission 1								
Acute admitted	Admitted Patient Care (APC)	Submission	29 Mar 21	27 Apr 21	30 Mar 21	31 Mar 21	30 Mar 21	31 Mar 21	31 Mar 21	30 Mar 21
Mental health		Re-submission 1	28 Apr 21						28 Apr 21	
Sub-acute or non-acute	Palliative Care (PCC)	Submission	29 Mar 21	27 Apr 21	30 Mar 21	31 Mar 21	30 Mar 21	31 Mar 21	31 Mar 21	30 Mar 21
		Re-submission 1	28 Apr 21							
Non-admitted	Non-Admitted Patient Level (NAP)	Submission	30 Mar 21	27 Apr 21	30 Mar 21	29 Mar 21	Not submitted	31 Mar 21	31 Mar 21	30 Mar 21
		Re-submission 1	28 Apr 21							
	Non-Admitted Aggregate (NAA)	Submission	29 Mar 21	27 Apr 21	30 Mar 21	26 Mar 21	29 Mar 21	N/A	N/A	30 Mar 21
		Re-submission 1	28 Apr 21		14 Apr 21		30 Mar 21			
		Re-submission 2					12 Apr 21			
		Re-submission 3					29 Apr 21			
Medicare PIN	Submission B	Submission	3 May 21	26 Apr 21	30 Mar 21	29 Mar 21	31 Mar 21	12 Apr 21	31 Mar 21	30 Mar 21
Sentinel Events	Sentinel Events	Submission	30 Mar 21	27 Apr 21	14 Apr 21	23 Mar 21	30 Mar 21	31 Mar 21	N/A ⁹	N/A ⁹

Refer to page 7 for comments and footnotes.

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Comments:

* Statement of Assurance, Submission B and Sentinel Events are not required for the first quarter and third quarter period submissions of activity data.

^ All clauses are from the National Health Reform Agreement 2011 (the Agreement), unless specified from the Addendum to the National Health Reform Agreement 2020-21 to 2024-25 (the Addendum).

Footnotes:

¹ Service agreements for Albury NSW and Contracted Services have not been provided to the Administrator.

² Total level of ABF funding is not reported on the basis of the National Efficient Price.

³ Total level of Block funding is not available, only the Commonwealth's contribution is provided. The State contribution is not provided.

⁴ Service agreements have not been provided to the Administrator.

⁵ A service agreement for the Queensland Virtual LHN has not been provided to the Administrator.

⁶ NWAUs in Service Agreements do not align to NWAU estimates provided to the Administrator.

⁷ Data Conditional Payment not applied due to relevant data sets being received from jurisdictions prior to the due date for the Administrator to provide advice to the Commonwealth Treasurer.

⁸ These data sets have been submitted in full by respective jurisdictions subsequent to 31 March 2021.

⁹ The ACT and NT had no sentinel events to report for this reporting period.

Attachment A - 2019-20 Annual Reconciliation data submissions

Category	Dataset	Submission date	NSW	VIC	QLD	WA	SA	TAS	ACT	NT
Emergency	ED (ED Patient Level)	Submission	25 Sep 20	7 Oct 20	30 Sep 20	25 Sep 20	22 Sep 20	30 Sep 20	30 Sep 20	30 Sep 20
		Re-submission 1			21 Oct 20	27 Oct 20	27 Oct 20		28 Oct 20	7 Nov 20
	ES (ED Aggregate Level)	Submission	N/A	7 Oct 20	30 Sep 20	25 Sep 20	27 Oct 20	30 Sep 20	N/A	N/A
		Re-submission 1								
Acute admitted	Admitted Patient Care (APC)	Submission	25 Sep 20	7 Oct 20	30 Sep 20	29 Sep 20	30 Sep 20	30 Sep 20	29 Sep 20	30 Sep 20
		Re-submission 1	30 Oct 20	17 Feb 21 ¹	1 Oct 20	27 Oct 20	28 Oct 20	21 Oct 20	28 Oct 20	7 Nov 20
		Re-submission 2	31 Oct 20	23 Feb 21 ²			30 Oct 20	20 Nov 20		
Mental health	Palliative Care (PCC)	Submission	25 Sep 20	7 Oct 20	30 Sep 20	29 Sep 20	30 Sep 20	2 Oct 20	29 Sep 20	30 Sep 20
		Re-submission 1	30 Oct 20			27 Oct 20	28 Oct 20	30 Oct 20	28 Oct 20	7 Nov 20
		Re-submission 2						20 Nov 20		
Sub-acute or non-acute	Non-Admitted Patient Level (NAP)	Submission	25 Sep 20	7 Oct 20	30 Sep 20	29 Sep 20	28 Oct 20	30 Sep 20	29 Sep 20	30 Sep 20
		Re-submission 1	30 Oct 20		21 Oct 20			20 Nov 20	28 Oct 20	6 Nov 20
		Re-submission 2	1 Feb 21						22 Feb 21	
Non-admitted	Non-Admitted Aggregate (NAA)	Submission	25 Sep 20	7 Oct 20	30 Sep 20	29 Sep 20	1 Oct 20	30 Sep 20	N/A	30 Sep 20
		Re-submission 1	30 Oct 20		21 Oct 20	8 Feb 21	20 Oct 20			
		Re-submission 2	8 Feb 21		15 Feb 21 ³	10 Feb 21				
Medicare PIN	Submission B	Submission	9 Oct 20	7 Oct 20	7 Oct 20	29 Sep 20	30 Sep 20	30 Sep 20	29 Sep 20	30 Sep 20
		Re-submission 1	28 Oct 20			27 Oct 20	28 Oct 20	29 Oct 20	28 Oct 20	
Sentinel Events	Sentinel Events	Submission	29 Sep 20	7 Oct 20	30 Sep 20	25 Sep 20	30 Sep 20	30 Sep 20	30 Sep 20	N/A
		Re-submission 1					28 Oct 20			

¹ Resubmission requested by NHFB on 28 January 2021

² Resubmission requested by IHPA and NHFB on 17 February 2021

³ Resubmission requested by NHFB on 10 February 2021